O I P E C 12 2005 MAY 2 3 2005

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# Docket Number (Optional) PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT 56249-172 (PHLL-155RE) ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b) First named inventor: Mark Dinsmore Art Unit: Application No.: 10/790,635 Examiner: Filed: March 1, 2004 Title: OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE Attention: Office of Petitions **Mail Stop Petition** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX (703) 872-9306 NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9282. The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained. APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional. 1.Petition fee Small entity-fee \$ \_\_\_\_\_ (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27. \_(37 CFR 1.17(m)) Other than small entity – fee \$ 1,500 Please charge this fee to our Deposit Account 50-1133 and any other fees due 2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of Response to Notice of Incomplete (identify type of reply): Reply to the Notice to File Missing Parts of Application has been filed previously on \_\_\_ is enclosed herewith. B. The issue fee and publication fee (if applicable) of \$ \_\_\_ has been paid previously on \_\_\_\_ is enclosed herewith.

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

[Page 1 of 2]

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PTO/SB/64 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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3. Terminal disclaimer with disclaimer fee						
Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required.						
A terminal displainer (and displainer too (27 CEP 1 20/d)) of \$	for a small entity or \$					
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).						
4. STATEMENT: The entire delay in filing the required reply from the due of filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [New Trademark Office may require additional information if there is a question abandonment or the delay in filing a petition under 37 CFR 1.137(b) was subsections (III)(C) and (D)).]	NOTE: The United States Patent and nas to whether either the					
WARNING: Information on this form may become public. Credit included on this form. Provide credit card information and auth	card information should not be orization on PTO-2038.					
$VVVVV_{i}$	= 12010<					
Signature	5 20 05 Date					
` <b>\\</b>	26 610					
Mark G. Lappin Typed or printed name	26,618  Registration Number, if applicable					
•••	•					
McDermott Will & Emery LLP	617-535-4043					
Address 28 State Street	Telephone Number					
Boston, MA 02109						
Address						
Enclosures: X Fee Payment						
X Reply						
Terminal Disclaimer Form						
X Additional sheets containing statements establishing un	nintentional delay					
Other:						
Other						
CERTIFICATE OF MAILING OR TRANSMISSION	[37 CFR 1.8(a)]					
I hereby certify that this correspondence is being:  Deposited with the United States Postal Service on the date postage as first class mail in an envelope addressed to: Mai Patents, P. O. Box 1450, Alexandria, VA 22313-1450.	shown below with sufficient I Stop Petition, Commissioner for					
Transmitted by facsimile on the date shown below to the Un Office as (703) 872-9306.	ited States Patent and Trademark					
May 20, 2005  Date						
Carlo	District					
- Odyle o	me of person signing certificate					
Typed or printed na	me or person signing certificate					

MIT 23 2005 INTERPRETATION

# <u>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

In re Application of: Mark Dinsmore

Serial No.:

10/790,635

(which is a reissue of 6,480,568, issued November 12, 2002)

Filed:

March 1, 2004

Title:

OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE

Attorney Ref.:

56249-172 (PHLL-155RE)

#### CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22312 1450 on the date indicated below.—

Date: 5/20/0

Gayle Endres

Mail Stop Petition Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

#### TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified patent application please find the following:

- 1. Copy of Notice of Abandonment mailed by USPTO on March 22, 2005;
- Applicant's Reply to the Notice of Abandonment from USPTO;
- 3. Petition For Revival of an Application for Patent Abandoned Unintentionally Under 37 C.F.R. 1.137(b);
- Copy of Notice of Incomplete Reply (Reissue) mailed by USPTO on March 24,2005;
- 5. <u>Properly executed</u> Assent of Assignee To Reissue;
- 6. <u>Property executed</u> Statement Under 37 CFR 3.73(b); 2004
- 7. Revised Petition Under 37 CFR §1.47(b); and
- 8. Revised Declaration of Mark G. Lappin Filed in Support of Petition Under 37 CFR §1.47(b).

. 10/790,635 May 20, 2005 Page - 2 -

In connection with the foregoing matter, the Commissioner is authorized to charge any fees which may be due, or credit any overpayment, to Deposit Account Number 50-1133.

Dated: \_\_\_\_\_\_\_\_ 5 ) 2 0 / 0 5

Respectfully submitted,

Mark G. Lappin

Registration Number 26,618 McDermott Will & Emery LLP

28 State Street

Boston, MA 02109-1775

Tel.: (617) 535-4043 Fax: (617) 535-3800 UNITED STATES PATENT AND TOADENARY OFFICE & E U E

12 MAY 2 3 2005

MAR 2 4 2005

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MW8:E

MCDERMOTT WILL & EMERY LLP

ATTN: INTELLECTUAL PROPERTY DEPARTMENT DOCKETING

28 STATE STREET BOSTON MA 02109

DOCKETED

122/05 Red

**COPY MAILED** 

MAR **2 2** 2005

 $\mathcal{L}^{\mathsf{T}}$  office of Petitions

In re Application of Mark Dinsmore

Application No. 10/790,635

Filed: March 1, 2004

Attorney Docket No. 56249(PHLL-

155RE)

ON PETITION

AND

NOTICE OF ABANDONMENT

This is in response to the petition under 37 CFR 1.47(b), filed November 8, 2004 (certificate of mailing dated November 4, 2004).

A review of the file reveals that on May 4, 2004, the United States Patent and Trademark Office (Office) mailed a Notice to File Missing Parts of Reissue Application to petitioner, which set forth a two-month period to submit the required payment of a \$130.00 surcharge, an executed oath or declaration in compliance with 37 CFR 1.63, a statement of consent bearing the signature of an official authorized to act on behalf of the assignee, and a signed assignee's statement under 37 CFR 3.73(b). Extensions of time to reply to the Notice were permissible.

On November 8, 2004 (certificate of mailing dated November 4, 2004), petitioner filed an extension of time to reply within the fourth month, a petition under 37 CFR 1.47(b), a declaration, and paid the requisite fees. However, the Office did not receive a statement of consent bearing the signature of an official authorized to act on behalf of the assignee or a signed assignee's statement under 37 CFR 3.73(b) as required by the Notice of May 4, 2004.

Because petitioner failed to submit a timely and proper response to the Notice of May 4, 2004, within the time period stated, this application is currently abandoned as of November 5, 2004.

Under the circumstances of this application, petitioner must file a petition to revive under 37 CFR 1.137 and pay the proper petition fee within TWO (2) MONTHS of this decision before the petition under 37 CFR 1.47(b) may be addressed on its merits. No extensions of time are permissible. Accordingly, the petition is dismissed without prejudice. Unless petitioner can demonstrate that petitioner was "unavoidably" prevented from submitting a proper reply to the Notice, a petition under 37 CFR 1.137(b) is suggested. The petition under 37 CFR 1.47(b) will remain in the file until such time as petitioner has filed a grantable petition to revive under 37 CFR 1.137.

The Office reminds petitioner that a grantable petition under 37 CFR 1.47(b) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration; (2) an acceptable oath or declaration; (3) the petition fee; (4) a statement of the last known address of the non-signing inventor; (5) proof of proprietary interest; and, (6) proof of irreparable damage. Petitioner is further reminded of Section 409.03(b)(A) of the Manual for Patent Examining Procedure, which states in pertinent part:

Where a corporation is the 37 CFR 1.47(b) applicant, an officer (President, Vice-President, Secretary, Treasurer or Chief Executive Officer) thereof should normally sign the necessary oath or declaration. A corporation may authorize any person, including an attorney or agent registered to practice before the Patent and Trademark Office, to sign the application oath or declaration on its behalf. Where an oath or declaration is signed by a registered attorney or agent on behalf of a corporation, either proof of the attorney's or agent's authority in the form of a statement signed by an appropriate corporate officer must be submitted, or the attorney or agent may simply state that he or she is authorized to sign on behalf of the corporation. Where the oath or declaration is being signed on behalf of an assignee, see MPEP Section 324. An inventor may not authorize another individual to act as his or her agent to sign the application oath or declaration on his or her behalf. Staeger v. Commissioner, 189 USPQ 272 (D.D.C. 1976), In re Striker, 182 USPQ 507 (Comm'r Pat. 1973). Where an application is executed by one other than the inventor, the declaration required by 37 CFR 1.63 must state the full name, residence, post office address, and citizenship of the nonsigning inventor. Also, the title or position of the person signing must be stated if signing on behalf of a corporation under 37 CFR 1.47(b).

Application No. 10/790,635

Petitioner may supplement the petition under 37 CFR 1.47(b) as desired.

Further correspondence with respect to this matter should be addressed as follows and to the attention of Senior Petitions Attorney Christina Tartera Donnell:

By mail:

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(703) 872-9306

Attn: Office of Petitions

By hand:

Customer Service Window

Randolph Building 401 Dulany Street Alexandria, VA 22314

Telephone inquiries should be directed to the undersigned at (571) 272-3211.

Christina Partera Donnell

Christina Tartera Donnell Senior Petitions Attorney Office of Petitions MAY 2.3 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Mark Dinsmore

Serial No.:

10/790,635

(which is a reissue of 6,480,568, issued November 12, 2002)

Filed:

March 1, 2004

Title:

OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE

Attorney Ref.:5

6249-172 (PHLL-155RE)

#### CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8(a) in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Date: S

Gayle Endres

Mail Stop Petition Assistant Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## REPLY TO NOTICE OF ABANDONMENT

Sir:

This paper is in response to the "On Petition and Notice of Abandonment" (copy enclosed) dated March 22, 2005, in the above-identified reissue application.

The Notice of Abandonment states that Applicant's reply (mailed11/04/2004) to the Notice of Missing Parts mailed 05/04/2004 contained two documents (Statement of Consent and Assent of Assignee) that did not bear the signature of an authorized official, and therefore the above-referenced application currently stands abandoned as of November 5, 2004. The Notice of Abandonment further states that Applicant must file a petition to revive under 37 CFR 1.137(b) and fee, before Applicant's petition under 1.47(b) can be addressed on its merits, and that Applicant's petition under 1.47(b) will remain in the file until such time as petitioner has filed a grantable petition to revive under 37 CFR 1.137.

Serial No.: 10/790,635

Page - 2 -

In response, Applicant hereby submits a Petition to Revive under 37 CFR 1.137(b). Applicant further submits a Statement of Consent and Assent of Assignee, properly executed by authorized officials.

Finally, Applicant submits a revised Petition under 1.47(b), and a revised Declaration under 1.47(b). The revisions were made in order to better clarify the circumstances under which the non-signing inventor refused to sign the oath and declaration.

Respectfully submitted,

Mark Lappin

Registration Number

McDERMOTT WILL & EMERY LLP

28 State Street

Boston, Massachusetts 02109

Tel. (617) 535-4043

Fax: (617) 535-3800

Date: May 20, 2005





UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 2 3 2005

UNITED STATES DEPARTMENT OF COMMERCE United States Department Of Commit United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virgnis 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/790,635

03/01/2004

Mark Dinsmore

56249 (PHLL-155RE)

23630 MCDERMOTT WILL & EMERY LLP ATTN: INTELLECTUAL PROPERTY DEPTARTMENT DOCKETING 28 STATE STREET BOSTON, MA 02109

**CONFIRMATION NO. 6536 FORMALITIES LETTER** \*OC00000015551280\* \*OC000000015551280\*

Date Mailed: 03/24/2005

# NOTICE OF INCOMPLETE REPLY (REISSUE)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 11/08/2004 to the Notice to File Missing Parts (Notice) mailed 05/04/2004 and it has been entered into the reissue application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this reissue application will be the date of receipt of the items required above.

- Consent of the assignee is present, but is unsigned. A statement of consent bearing the signature of an official authorized to act on behalf of the assignee(s) must be provided, to comply with 37 CFR 1.172.
- Assignee's statement under 37 CFR 3.73(b) is present, but is unsigned. A 37 CFR 3.73(b) statement bearing the signature of an official authorized to act on behalf of the assignee must be provided.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



<u>IN TI</u>	HE UNITED STATES PATENT AND TRADEMARK OFFICE
In re Application	of: Mark Dinsmore
Serial No.:	10/790,635 (which is a reissue application of U.S. Patent No. 6,480,568, issued November 12, 2002)
Filed:	March 1, 2004
Title:	OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE
Attorney Ref.:	56249-172 (PHLL-155RE)
	CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))
in an envelope addressed	rrespondence is being deposited with the United States Postal Service as First Class Mall under 37 CFR 1.8(a) to Mall Stop Missing Parts, Commissioner for Palents, P.O. Box 1459, Alexandria, VA 22313-1950 on the date
Date: 5/20	Jos Cayle Endres
P.O. Box 1450 Alexandria, Virgir	ssioner for Patents nia 22313-1450  ASSENT OF ASSIGNEE TO REISSUE  Strasse
73447 Oberkoch Letters Patent, he Attached is a "Sta Carl Zeiss AG, d	signed Carl Zeiss AG, with a post address Carl Zeiss 22, en, Germany, assignee of the entire interest in the above-mentioned ereby assents to the accompanying reissue patent application. aterment Under 37 CFR 3.73(b)," establishing the right of the assignee, oing business as Carl Zeiss AG, to take action in this reissue undersigned is empowered to sign this certificate on behalf of the
Date: May 18	Respectfully submitted,  CARL ZEISS AG  By: i. V. Wilson Gaer, J. V.  Printed Name: Dr. Hikolai Gauss Carsten Henckel  Title: Retent Manager Potent Manager



<u>IN TE</u>	HE UNITED STATES PATENT AND TRADEMARK OFFICE
In re Application	of: Mark Dinsmore
Serial No.:	10/790,635 (which is a reissue application of U.S. Patent No. 6,480,568, issued November 12, 2002)
Filed:	March 1, 2004
Title:	OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE
Attorney Ref.:	56249-173 (PHLL-157RE)
in en envelope addressed Indicated below Date:	to Mall Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the dei
Mail Stop Missing	g Parts issioner for Patents
Assistant Commi P.O. Box 1450 Alexandria, Virgir	ssioner for Patents
Assistant Commi P.O. Box 1450 Alexandria, Virgir	issioner for Patents nia 22313-1450 STATEMENT UNDER 37 CFR 3.73(b)
Assistant Commi P.O. Box 1450 Alexandria, Virgin Carl Zeiss AG (Name of As certifies that it is the	issioner for Patents nia 22313-1450 STATEMENT UNDER 37 CFR 3.73(b)
Assistant Commi P.O. Box 1450 Alexandria, Virgin Carl Zeiss AG (Name of As certifies that it is the by virtue of: A. [X] An a	issioner for Patents nia 22313-1450 STATEMENT UNDER 37 CFR 3.73(b) ssignee) (Type of Assignee)
Assistant Commi P.O. Box 1450 Alexandria, Virgir  Carl Zeiss AG  (Name of As certifies that it is the by virtue of:  A. [X] An a assigned	issioner for Patents  nia 22313-1450  STATEMENT UNDER 37 CFR 3.73(b)  ssignee)  (Type of Assignee)  assignee of the entire right, title and interest in the patent application identified above assignment from the inventor(s) of the patent application identified above. The griment was recorded in the Patent and Trademark Office at Reel 012640, Frame
Assistant Commi P.O. Box 1450 Alexandria, Virgir  Carl Zeiss AG  (Name of As certifies that it is the by virtue of:  A. [X] An a assi, 0834  B. [X] A ch iden	issioner for Patents  nia 22313-1450  STATEMENT UNDER 37 CFR 3.73(b)  ssignee)  (Type of Assignee)  assignee of the entire right, title and interest in the patent application identified above assignment from the inventor(s) of the patent application identified above. The ignment was recorded in the Patent and Trademark Office at Reel 012640, Frame 4 for which a copy thereof is attached.  nain of title (Asset Purchase Agreement) from the inventor(s), of the patent application
Assistant Commi P.O. Box 1450 Alexandria, Virgir  Carl Zeiss AG  (Name of As  certifies that it is the by virtue of:  A. [X] An a assis 0834  B. [X] A ch iden  From: Photoele	issioner for Patents  nia 22313-1450  STATEMENT UNDER 37 CFR 3.73(b)  Signee)  (Type of Assignee)  assignee of the entire right, title and interest in the patent application identified above assignment from the inventor(s) of the patent application identified above. The ignment was recorded in the Patent and Trademark Office at Reel 012640, Frame 4 for which a copy thereof is attached.  nain of title (Asset Purchase Agreement) from the inventor(s), of the patent application intified above, to the assignee as shown below:  ectron Corporation  To: Carl-Zelss-Stiftung, doing



AND

C. [X] A chain of title document (in German) setting forth the change of name/form of the assignee from "Carl-Zeiss-Stiftung, doing business as Carl Zeiss", to "Carl Zeiss AG", a copy of which is attached. This document was submitted for recordal with the U.S. Patent and Trademark Office on March 28, 2005, however notice of Reel and Frame numbers from the USPTO has not yet been received.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: May 18, 2005	
Name: Dr. Hikolai Gauss	Carsten Henckell
Title: Patent Manager	Potent Manager
	+49, (0) 7364 20 360,7
Signature: i. V. Milolei Pose, 3:	V. Cot Sa col
	The George



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark Dinsmore

Serial No.:

10/790,635 (which is a reissue application of

6.480.568 issued November 12, 2002)

Filed:

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Attorney Ref.:

56249-172 (PHLL-155RE)

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Gavle Endres

Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REVISED PETITION UNDER 37 CFR § 1.47(b)

Dear Sir:

In response to the Notice of Missing Parts of Reissue Application dated May 4, 2004, petition is hereby made to accept the Declaration and Power of Attorney, which is enclosed herewith and is signed by Mark G. Lappin on behalf of and as an agent of the sole inventor of the above-identified reissue application, pursuant to the provisions of 37 C.F.R. § 1.47(b). The Notice To File Missing Parts of Reissue Application has a two (2) month shortened statutory period for response expiring July 4, 2004. A Petition for a Four Month Extension of Time to Respond to the Notification of Missing Parts was already filed on November 4, 2004 with the Petition of same date. However, if the Office of Petitions deems it necessary to file a Petition for Extension of Time with this 05/24/2005 SLUANG1 00000052 501133 10790635

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filing, please charge the requisite fee to our Deposit Account No. 50-1133.

#### A. SOLE INVENTOR

Mark Dinsmore is the sole inventor of the above-identified application ("Application"). At the time Mark Dinsmore invented the subject matter of the Application ("Invention"), he was an employee of Photoelectron Corporation ("Photoelectron").

### B. APPLICANT MAKES OATH

In accordance with M.P.E.P. §409.03(b), the undersigned, Mark G. Lappin, hereby makes application for the Invention on behalf of and as agent for an inventor who refuses to sign the Declaration and Power of Attorney for the Application ("Application Papers"). Mark G. Lappin hereby states that he is authorized to sign on behalf of Carl Zeiss AG, the current assignee of the Application, in this matter for this purpose.

## C. <u>APPLICANT'S RELATIONSHIP TO INVENTOR</u>

In accordance with M.P.E.P. § 409.03(b), applicant Mark G. Lappin is a registered patent attorney (Registration No. 26,618) in the Intellectual Property Department of McDermott Will & Emery LLP, acting on behalf of Carl Zeiss AG, who acquired the Application from Photoelectron. Photoelectron is the original assignee of Mark Dinsmore's interest in the patent Application.

#### D. INVENTOR REFUSED TO SIGN THE APPLICATION PAPERS

In accordance with M.P.E.P. §409.03(d), 1) Mark Dinsmore refused to sign the Application Papers, despite having been asked to do so; and 2) Mark Dinsmore gave a reason for refusing to sign the Application Papers, namely that he was concerned that signing the Application Papers might limit his future business activities.

#### E. PROOF OF REFUSAL TO EXECUTE THE APPLICATION PAPERS

In accordance with M.P.E.P. §409.03(d), Mark G. Lappin submits herewith a "Revised Declaration in Support of a Petition Under 37 C.F.R. § 1.47(b)," which fully describes the facts that are relied upon to establish that Mark Dinsmore refused to sign the Application Papers.

#### F. LAST KNOWN ADDRESS

In accordance with M.P.E.P. §409.03(e), the last known address of Mark Dinsmore is: 25 Wadsworth Road, Sudbury, MA 01776.

# G. THE INVENTOR HAS ASSIGNED THE INVENTION TO CARL ZEISS AG

In accordance with M.P.E.P. §409.03(f), the inventor Mark Dinsmore assigned the Patent Application to Photoelectron, which in turn assigned the Application to Carl Zeiss AG. A copy of the relevant assignment documents ("Assignment Documents") are attached to this petition. The Assignment Documents establish a prima facie case that Carl Zeiss AG has a proprietary interest in the subject matter of the Application.

# H. FILING OF THE APPLICATION IS NECESSARY TO PRESERVE THE RIGHTS OF CARL ZEISS AG

In accordance with M.P.E.P. §409.03(g), the refusal by Mark Dinsmore to sign the Application Papers fails to preserve Carl Zeiss AG's rights granted under the Assignment Documents, and will result in irreparable damage by causing the abandonment of the Application for failure to comply with the statutory requirements of 37 C.F.R. §1.497. The filing of the Application is necessary to preserve the rights of Carl Zeiss AG.

## I. PETITION FEE

The Commissioner is hereby authorized to charge the petition fee in accordance with 37 C.F.R. 1.117(i), as well as the petition fee (if deemed necessary) for an extension of time under 37 C.F.R. § 1.136, to Deposit Account 50-1133. The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-1133.

Date:	ς.		120	10<
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Respectfully submitted,

Mark G. Lappin

Registration Number 26,618

McDERMOTT WILL & EMERY LLP

28 State Street

Boston, Massachusetts 02109

Customer No.: 23630 Tel.: (617) 535-4043

Fax: (617) 535-3800



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mark Dinsmore

Serial No.:

10/790,635 (which is a reissue application of

6,480,568 issued November 12, 2002)

Filed:

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Title:

OPTICALLY DRIVEN THERAPEUTIC RADIATION SOURCE

Attorney Ref.:

56249-172 (PHLL-155RE)

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Date: 5/20/05

Gayle Endres

Office of Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REVISED DECLARATION OF MARK G. LAPPIN FILED IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(b)

- I, Mark G. Lappin, to the best of my knowledge and belief, do hereby state as follows:
- 1. I am a registered patent attorney (Registration No. 26,618) in the Intellectual Property Department of McDermott Will & Emery LLP, acting on behalf of Carl Zeiss AG, who is the current assignee of the above-referenced reissue application ("Reissue Application").
  - 2. Mark Dinsmore is the sole inventor of the Reissue Application.
- 3. Mark Dinsmore is the sole inventor of U.S. Patent No. 6,480,568B2 ("Underlying Patent"), upon which the Reissue Application is based.
- 4. I filed and prosecuted the application that issued in due course as the Underlying Patent ("Underlying Application").

- 5. Because Mark Dinsmore is the sole inventor of the Underlying Patent, has reviewed the contents of the Underlying Application, and has signed the application papers for the Underlying Application, Mark Dinsmore is thoroughly familiar with the subject matter disclosed in the Reissue Application.
- 6. On or about November 8, 2004, I met with Mark Dinsmore and spoke to him about the Reissue Application, explaining to him the proposed changes to the claims of the Reissue Application, as compared to the claims of the Underlying Patent.
- 7. At the aforesaid November 8, 2004 meeting, I presented the entire Reissue Application, including the specification, claims, drawings and Declaration, to Mark Dinsmore, and solicited his review of the Reissue Application and, if all was in order, his signature of the Declaration.
- 8. At the aforesaid November 8, 2004 meeting, Mark Dinsmore stated to me that he would not sign the Declaration, thereby effecting an oral refusal to sign the Declaration for the Reissue Application. Mark Dinsmore's oral refusal to sign occurred on November 8, 2004, in Massachusetts.
- 9. At the aforesaid November 8, 2004 meeting, Mark Dinsmore stated a reason for his refusal, namely that he was concerned that signing the Declaration for the Reissue Application would limit his future business activities.
- 10. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under

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Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any application or patent issued thereon.

Date: 5 20 6

Respectfully submitted, McDermott Will & Emery LLP Customer No. 23630

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